



THE COMMONWEALTH OF MASSACHUSETTS

Division of Insurance

One South Station

Boston, Massachusetts 02110-2208

APPLICATION FOR INDIVIDUAL VIATICAL LOAN OR VIATICAL SETTLEMENT BROKER LICENSE

INSTRUCTIONS -- In order for us to process your application you must:

- Answer every question accurately and completely. Incomplete applications will be returned.
- *Include the original results of your Prometric Life examination.
- Provide a detailed plan of operation for your proposed business activities pursuant to the requested license.
- Sign and date the application.
- Return this application with a check for \$75.00 to the Division of Insurance.

**N/A for: Non-Resident Broker*

Non-Resident Brokers must also provide:

- A written designation of an agent for service of process or a written irrevocable consent that any action against the applicant may be commenced against the applicant by service of process on the Commissioner.
- A certificate of good standing from your home state. This letter must be dated within 90 days of issue.

If you have any questions or need assistance, please contact Licensing at (617) 521-7794.

The application form with your check should be mailed to:

Division of Insurance

Producer Licensing Section

One South Station

Boston, Massachusetts 02110 - 2208

Any false statement in this application is punishable as perjury under Ch. 268 Mass. General Laws and may result in the revocation of your license(s)

Please Print or Type

To the Commissioner of Insurance:

Application is hereby made for an Individual Viatical Broker (Settlement or Loan) License issued to: (You may only do business in the name shown below.)

1.	Name of Applicant:	_____	_____	_____	_____
		Last	First	Middle	Jr./Sr.
2.	Social Security #:	_____			3. Date of Birth: ____ / ____ / ____
4.	Home Address:	_____			5. Tel # () _____
		Street	City	State	Zip
6.	Business Address:	_____			7. Tel # () _____
		Street	City	State	Zip
8.	Lines of Insurance:	() Life			
9.	Residence (last 5 years)	_____			
		Street	City	State	Zip
10.	Occupation (last 5 years):	_____			
	From ____ / ____ / ____ to ____ / ____ / ____	Duties or Title: _____			
	Employer's Name: _____	_____			
	Address: _____	_____			
		Street	City	State	Zip
	From ____ / ____ / ____ to ____ / ____ / ____	Duties or Title: _____			
	Employer's Name: _____	_____			
	Address: _____	_____			
		Street	City	State	Zip

11. Do you engage in any other business? ☐ Yes ☐ No
If YES, please describe (include amount of time spent): _____
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12. Brokers' License applying for (please attach details): ☐ Viatical Settlement ☐ Viatical Loan
13a Are you a licensed insurance Broker or Agent? ☐ Yes ☐ No
If YES, identify company, dates, and lines: _____
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- 13b Are you an Attorney , Accountant or Financial Planner ? ☐ Yes ☐ No
(If yes, please explain your reason for applying for this license)
14. Photograph of Applicant. If submitting Prometric exam scores with photo, 2" x 2" photo not required.
- Attach 2" x 2"
Photograph here.**
15. Has any insurance commissioner or department ever suspended, cancelled, or revoked any license issued to you as an agent, broker, or motor vehicle damage appraiser, or ever refused to issue or renew any such license, or have you ever surrendered any such license or has any insurance company cancelled any contract of employment or an appointment of, or a license to you as its agent for any reason, or has any other public official or court ever suspended, cancelled or revoked any license or authority of any kind issued to you to pursue any trade, calling, or profession or refused to issue or renew any such license or authority or discharged or removed you from any public office or position?
☐ Yes ☐ No (If YES, attach details.)
16. Have you ever filed a petition or have you been petitioned into bankruptcy or insolvency, or have you ever made any assignment for the benefit of, or any composition with your creditors, or have you ever been under guardianship or other legal disability?
☐ Yes ☐ No (If YES, attach details.)
17. Have you ever been convicted of, or arrested or prosecuted for, any crime or offense against the laws of this or any other state or country, or plead nolo contendere to any indictment or complaint for such crime or offense, or is there pending against you any indictment, complaint, or proceeding for a violation of such laws?
☐ Yes ☐ No (If YES, attach details)
18. Have you ever changed your name through marriage or a court of law?
☐ Yes ☐ No (If YES, attach court details and date of change, please include your maiden name.)
19. I have read and I am familiar with the laws of Massachusetts respecting viatical settlement agreements and the duties and obligations of Viatical Settlement or Viatical Loan Brokers. I intend to act and hold myself out and carry on business in good faith as a Viatical Settlement or Viatical Loan Broker. I hereby certify that I have complied with all the laws of the Commonwealth relating to taxes. I hereby verify the foregoing answers and statements and declare that they were made under the penalties of perjury. At any time, if any of the above information changes, I will notify your office.

Dated at _____ this _____ day of _____ , _____ YEAR
_____, Applicant _____
full signature print name

Please Note: This application must be signed by the applicant personally. Your signature constitutes your understanding that you must comply with all of the Commonwealth's laws regarding taxes.